

Victorian renal key performance indicators

Quarter 2 2019 report





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List of abbreviations

AVF	Arteriovenous Fistula
AVG	Arteriovenous Graft
СКД	Chronic Kidney Disease
ESKD	End Stage Kidney Disease
HD	Haemodialysis
KHA-CARI	Kidney Health Australia Caring for Australasians with Renal Impairment
KPI	Key Performance Indicator
PD	Peritoneal Dialysis
RRT	Renal Replacement Therapy
RCN	Renal Clinical Network

Introduction

Since 2012 the Renal Clinical Network (RCN) has developed and implemented indicators to measure the performance of Victoria's renal services, through the renal key performance indicators (KPIs). These indicators aim to drive quality improvement and increase efficiency and consistency through transparent comparison of performance.

The original set of six KPIs were formally endorsed by the RCN in 2012. Data is collected monthly by Safer Care Victoria (SCV) through a specially designed website portal. Each health service CEO has given formal permission to participate in the data collection and benchmarking program.

Since January 2018, oversight of the renal KPIs has been the responsibility of the SCV-RCN Insight subcommittee, which reports directly to the SCV-RCN Governance committee. The current members of the Insight subcommittee are listed in Appendix 1. The subcommittee meets each quarter to analyse the data. Each indicator has clear definitions, parameters and targets set. Targets may be changed as performance evolves and will be assessed by the Insight subcommittee.

A review of the renal KPI program was undertaken in 2018-19 to ensure that the measures remain relevant and effective. The review report is available at: https://www.bettersafercare.vic.gov.au/about-us/about-scv/our-clinical-networks/renal-clinical-network.

Several recommendations were made as an outcome from the review. As a result, some elements of the report will change over the next 12 months, including the addition of new KPIs. From this report onwards the targets for KPI-3 have been removed.

SCV oversees the data entry and puts together the KPI reports. This report is the 24th edition and reviews data from July 2018 to June 2019.

We want to ensure this report meets the needs of health services and provides a useful basis for renal service evaluation.

If you have any feedback on this report, get in touch:

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Performance

KPI 1: PROPORTION OF NEW, PLANNED PATIENTS WHO HAVE RECEIVED CKD EDUCATION BEFORE STARTING DIALYSIS

Definition

The percentage of patients that attended a Chronic Kidney Disease (CKD) education session that is documented in their medical record, before commencement of renal replacement therapy (RRT).

Target

80 per cent of new, planned patients who start dialysis have attended a CKD education session.

Exclusions

- Late referrals (patients commencing dialysis within three months of first renal consultation).
- Patients returning to dialysis with a failed transplant.





KPI1 - Percentage of new planned patients to receive CKD education before

Note: Results based upon data for the period Jul 2018 - Jun 2019

All confidence intervals used in this document are specified at the 95 per cent level.



KPI1 - Number of new planned patients to receive CKD education before starting dialysis

Note: Results based upon data for the period Jul 2018 - Jun 2019



KPI 1 - Percentage of new planned patients to receive CKD education before starting dialysis 2017 - 2019

Note: Results showing trend over time: based on 12-month averages for the previous 3 years. 2019 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17)

KPI 2: PROPORTION OF NEW, PLANNED RRT PATIENTS (EXCLUDING PRE-EMPTIVE LIVE DONOR TRANSPLANTS WITHIN 2 WEEKS OF TRANSPLANT) WHO SUCCESSFULLY USE AN ARTERIOVENOUS FISTULA OR GRAFT ACCESS AT FIRST HD TREATMENT

Definition

The percentage of new planned RRT patients that started treatment using an arteriovenous fistula (AVF) or arteriovenous graft (AVG).

Target

70 per cent of new planned dialysis patients use an arteriovenous vascular access at first treatment.

Exclusions

- Late referrals (patients commencing dialysis within three months of first renal consultation).
- Patients with a failed transplant or transferring from peritoneal dialysis (PD).

Results



KPI 2 - Percentage of patients that successfully used an arteriovenous vascular access at first HD treatment

Note: Results based upon data averaged for the period Jul 2018 - Jun 2019.



KPI 2 - Number of patients that successfully used an arteriovenous vascular access at first HD treatment

Number of new, planned haemodialysis patients who successfully use an AVG of AVF at first treatment

Number of new, planned haemodialysis patients

Note: Results based upon data for the period Jul 2018 - Jun 2019

120





Note: Results showing trend over time: based on 12-month averages for the previous 3 years. 2019 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17)

KPI 3 PROPORTION OF DIALYSIS PATIENTS WHO ARE DIALYSING AT HOME

Definition

- Incident patients the percentage of patients who are dialysing at home within six months of starting dialysis (out of total patients who started dialysis in the six months prior)
- Prevalent patients the overall percentage of patients who are dialysing at home.

Exclusions

Patients who are in home training units.

Results

Incidence



KPI 3 - Incidence percentage of home dialysis patients

Note: Above graph represents the home incidence rate averaged for the 12 months of Jul 2018 - Jun 2019



KPI 3 - Incidence percentage of home dialysis patients

Note: Results showing trend over time: based on 12 month averages for the previous 3 years. 2019 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17)

Prevalence



KPI 3 - Prevalence percentage of home dialysis patients

Note: Above graph represents the home prevalence rate averaged for the 12 months of Jul 2018 - Jun 2019



KPI 3 - Prevalence percentage of home dialysis patients

Note: Results showing trend over time: based on 12 month averages for the previous 3 years. 2019 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17).



% of transplant / dialysis outcomes for Jul 2018 - Jun 2019

The above graph demonstrates the percentage of all renal replacement therapy options at each hub in the twelve months to Jun 2019.

KPI 4: PERITONITIS RATES OF EACH HUB SERVICE

Definition

The average number of months between peritonitis episodes.

Target

Less than 0.33 peritonitis episodes for every patient year.

Exclusions

Patients who have a catheter in situ but are still pre-dialysis.

Results



KPI 4 - Peritonitis Rates

Note: Results based upon data average for the period Jul 2018 - Jun 2019

Improved hub performance in this KPI is reflected in a smaller bar in the above graph. If better than the benchmark value of 0.33 peritonitis episodes per patient year the bar is shaded green and reported as "better than target"



KPI 4 - Peritonitis Rates (2017 to 2019 yearly rates)

Note: Results showing trend over time: based on 12-month averages for the previous 3 years. 2019 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17)

The International Society of Peritoneal Dialysis (ISPD) 2016 guidelines recommended the peritonitis rate should be reported as number of episodes per patient-year. The ISPD have also recommended 0.5 episodes per year at risk as the minimum guideline for peritonitis incidence. However, as part of a continuous quality improvement program the VRCN recommended the target be lowered to 0.33 episodes per year at risk. Note lower results reported for this KPI represent better performance.

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KPI 5: PROPORTION OF NEW LIVE DONOR TRANSPLANTS THAT ARE PRE-EMPTIVE

Definition

The percentage of new live donor transplants that are pre-emptive (in which the patient requires less than two weeks of dialysis).

Target

40 per cent of new live donor transplants are pre-emptive.

Exclusions

- Patients with failed transplants recommencing RRT.
- Patients having a combined organ transplant.

Results



KPI 5 - Percentage of live donor transplants that are pre-emptive

Note: Results based upon data average for the period Jul 2018 – Jun 2019



KPI 5 - Number of live donor transplants that are pre-emptive

Note: Results based upon data average for the period Jul 2018 – Jun 2019



KPI 5 - Percentage of live donor transplants that are pre-emptive

Note: Results showing trend over time: based on 12-month averages for the previous 3 years. 2019 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17) The preferred option is to report KPI 5 activity for each hub service. However due to low activity numbers of the smaller transplant centres their data can often appear statistically insignificant and difficult to meaningfully interpret. To alleviate this problem, their activity was aggregated to increase the statistical robustness. These centres, labelled the 'collaborative' in this report, comprise Austin, Barwon, St Vincent's, Eastern and Bendigo Health Services. Western and Northern Health had their activity numbers aggregated with Melbourne Health due to its transplant service arrangement. All other transplanting centres had their activity reported as per normal.



KPI 5 - Percentage of live donor transplants that are pre-emptive in last 12 months

Note: Results based on 12-month averages for previous 3 years. 2018 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17)

KPI 6: PROPORTION OF NEW END STAGE KIDNEY DISEASE (ESKD) PATIENTS 65 YEARS OLD AND UNDER WHO HAVE HAD A TRANSPLANT OR ARE ON THE ACTIVE LIST

Definition

The percentage of new ESKD patients 65 years of age and under who have had a transplant or are on the 'active' list within:

- i. three months of requiring RRT
- ii. six months of requiring RRT.

Target

- i. 30 per cent of new ESKD patients within three months of requiring RRT
- ii. 40 per cent of new ESKD patients within six months of requiring RRT.

Exclusions

- Patients with a failed transplant recommencing RRT
- Patients having a combined organ transplant.

Results



KPI 6 - Percentage of new ESKD patients <=65yo who are transplanted or on an active list

Activated or transplanted numbers at 3 or 6 months in brackets

Note: Results based upon data averaged for the period Jul 2018 - Jun 2019



KPI 6 - Number of new ESKD patients <=65yo who are transplanted or on an active list within 3 months

Note: Results based upon data for the period Jun 2018 - Jun 2019



KPI 6 - Number of new ESKD patients <=65yo who are transplanted or on an active list within 6 months

■ Total number of new patients <= 65 yo that began RRT 3 months previous

Note: Results based upon data for the period Jul 2018 - Jun 2019



KPI 6 - Percentage of new ESKD patients <=65yo who are transplanted or on an active list within 3 months (2017 to 2019 yearly averages)

KPI 6 - Percentage of new ESKD patients <=65yo who are transplanted or on an active list within 6 months (2017 to 2019 yearly averages)



Note: Results showing trend over time: based on 12-month averages for the previous 3 years. 2019 (Jul 18 – Jun 19), 2018 (Jul 17 – Jun 18) and 2017 (Jul 16 – Jun 17).

Appendix 1 Insight committee members

Associate Professor Nigel Toussaint (Chair)	Melbourne Health
Dr Scott Wilson	Alfred Health
Mr Richard Knight	Barwon Health
Ms Lara Kimmel	Consumer
Mr John Miselowski	Consumer
Associate Professor Matthew Roberts	Eastern Health
Associate Professor Bill Mulley	Monash Health
Dr David Langsford	Northern Health
Mr Gregory Dowling	Safer Care Victoria
Ms Nuala Barker	St Vincents Health
Ms Denise Fracchia	Western Health

Appendix 2 KPI rationales

KPI 1: PROPORTION OF NEW, PLANNED PATIENTS WHO HAVE RECEIVED CKD EDUCATION BEFORE STARTING DIALYSIS

Definition

- 'Planned' is defined as patients referred to a nephrologist within three years but prior to three months before requiring RRT
- CKD education is defined as either attending a CKD session or a one-on-one session with a member of the CKD team (not a nephrologist consultation only). This education session is to be documented in the patient's medical record
- Numerator: All new, planned patients who have received CKD education before starting dialysis
- Denominator: All new planned patients who have started dialysis
- Exclusions:
 - Late referrals (patients commencing dialysis within three months of first renal consultation)
 - Patients returning to dialysis with a failed transplant

Rationale

The Kidney Health Australia (KHA)-Caring for Australasians with Renal Impairment (KHA-CARI) Guidelines¹ for Acceptance onto Dialysis (2005) support a multidisciplinary approach to pre-dialysis education, noting that patients and their families or carers should receive sufficient information and education regarding the nature of ESKD and the options for the treatment to allow them to make an informed decision about the management of their ESKD (Level III evidence).

Recent literature^{2,3,4,5,6} reinforces the potential for timely and appropriate CKD education to support informed decision making and, where elected, facilitate a planned approach to the commencement of dialysis, contributing to:

- improved pre-dialysis care and self-management
- increased rates of permanent access
- reduced need for urgent start dialysis
- increased uptake of home therapies
- improved quality of life and reduced mortality in the first 90 days post dialysis initiation.

¹ Kelly, J., Stanley, M. & Harris, D. (2005) The CARI Guidelines. Acceptance onto Dialysis. Predialysis Education. Nephrology.

² Thomas, M. (2007) Pre-dialysis education for patients with chronic kidney disease. Nephrology. 12:S46-S48

³ Lacson Jnr, L., Wang, W., DeVries, C., Leste, K., Hakim, R., Lazarus, M. & Pulliam, J. (2011) Effects of Nationwide Predialysis Education Program on Modality Choice, Vascular Access and Patient Outcomes. Am J Kidney Dis. 58(2):235-242

⁴ Saggi, S.J., Allon, M., Bernardinin, J., Kalantar-Zadeh, K., Shaffer, R. & Mehrotra, R. (2012) Considerations in the Optimal Preparation of Patients for Dialysis. Nephrology. 8:381-389

⁵ Wu, W., Wang, S., Hsu, K., Lee, C., Sun, C., Tsai, C. & Wu, M. (2009) Multidisciplinary predialysis education decreases the incidence of dialysis and reduces mortality – a controlled cohort study based on the NKF/DOQI guidelines. Nephrol Dial Transplant. 24:3426-3433

⁶ Van Biesen, W., Verbeke, F. & Vanholder, R. (2009) We don't need no education.... (Pink Floyd, The Wall) Multidisciplinary predialysis education programmes: pass or fail? Nephrol Dial Transplant. 24:3277-3279

Potential reasons for variation between services

- Disparity of patient access to education programs relating to:
 - Inadequate resources multidisciplinary staff, education materials, training equipment, delivery methods, data management systems and budget restraints
 - Difficulty in attending due to: distance, poor mobility, lack of transport and / or lack of support person
 - Culturally and linguistically diverse patients (interpreter, information translation often required)
- Disparity in patient uptake of education programs due to variation in
 - Psychosocial acceptance rates, e.g. increased fear or denial
 - Access to or acceptance of support, e.g. social work, psychologist
 - Collaborative relationships in CKD care, e.g. private nephrologist, primary health, Hospital Admission Risk Program
- Disparity in documentation of education provided due to
 - Variation in internal processes for defining and recording education sessions
 - No standardised definition of "appropriate" CKD education
 - Lack of Level 1 evidence
 - Lack of standardised measure for CKD education

KPI 2: PROPORTION OF NEW, PLANNED RRT PATIENTS (EXCLUDING PRE-EMPTIVE LIVE DONOR TRANSPLANTS WITHIN 2 WEEKS OF TRANSPLANT) WHO SUCCESSFULLY USE AN ARTERIOVENOUS FISTULA OR GRAFT ACCESS AT FIRST HD TREATMENT

Definition

- New HD patients are those who have chronic maintenance HD as the first RRT
- 'Planned' is defined as patients referred to a nephrologist within three years but prior to three months before requiring RRT
- Vascular access is defined as either an AVF or AVG
- Successful use of an access is defined as not requiring a temporary access
- Numerator: Number of new planned patients starting HD using an AVF/AVG
- Denominator: Total number of new planned patients starting HD
- Exclusions:
 - Late referrals (patients commencing dialysis within three months of first renal consultation)
 - Patients with a failed transplant or transferring from PD

Rationale

Consideration should be given as to the most appropriate type of vascular access for each individual patient. Current guidelines suggest that the arteriovenous fistula is the preferred choice of vascular access (over central or femoral venous catheters) for patients commencing haemodialysis.^{7,8} When compared to the use of central venous catheters, early access creation (AVF and AVG) is associated with a significantly reduced risk of sepsis and mortality.⁹

Potential reasons for variation between services

- Timing of referral for vascular access creation by nephrologist or the renal team
- Patient reluctance to address impending dialysis necessity or reliance upon pre-emptive transplant
- Public health service waiting list times for vascular surgery
- Differences in clinical follow-up of AVF development post-operatively
- Variation in the number of public / private patients between services
- Variation in skill level and needling techniques between dialysis staff and dialysis units.

⁸ CARI Guidelines 2011

⁷ The Renal Association (2011). Clinical Guidelines- Vascular Access for Haemodialysis final version. Electronic version accessed 19th November 2012. http://www.renal.org/clinical/guidelinessection/vascularaccess.aspx

⁹ Oliver M, J; Rothwell D, M; Fung K; Hux J, E and Lok C, E (2004). Late Creation of Vascular Access for Hemodialysis and Increased Risk of Sepsis. *Journal of the American Society of Nephrology*. 15 pp. 1936-1942.

KPI 3: PROPORTION OF DIALYSIS PATIENTS WHO ARE DIALYSING AT HOME

Definition

- Patients dialysing at home includes nocturnal and conventional HD; and automated and continuous ambulatory PD
- Incident patients proportion of patients at six months after starting dialysis
- Prevalent patients proportion of total dialysis population
- Numerator (incidence): number patients that have attempted at least one month of home dialysis within six months of starting dialysis
- Denominator (incidence): all new planned patients who have started dialysis (in that particular month only) six months previous
- Numerator (prevalence): number of patients on home dialysis
- Denominator (prevalence): all new planned patients who have started dialysis
- Inclusion: patients who have successfully been on home dialysis during any of the six months
- Exclusions: patients who are in home training units.

Rationale

Home dialysis maximises independence and assists in addressing current access issues, including transportation for rural patients. Further advantages include quality of life, social and economic benefits. Increasing home dialysis uptake encourages improved outcomes and lower costs and identifying barriers to home therapies will assist in enhancing the prevalence in the state.

Potential reasons for variation between services

- Lack of infrastructure for training, support and education
- Travel distances for rural patients, educators, and technicians
- Lack of local support for patients requiring time-dependent assistance
- Lack of adequate water supply or consistent electrical means for rural patients
- Prevalence of rental accommodation / transient living arrangement in a region

KPI 4: PERITONITIS RATES OF EACH HUB SERVICE

Definition

- Peritonitis rate is calculated as the total number of peritonitis episodes experienced by all patients on dialysis (PD) during the reporting month, divided by the total number of months all patients have spent on dialysis (PD), and then multiplied by 12 to be expressed as yearly rate (0.33 episodes per patient year).
- Peritoneal Dialysis peritonitis diagnosed when **at least 2** of the following are present
 - Clinical features consistent with peritonitis i.e. abdominal pain and/or cloudy dialysis effluent
 - Dialysis effluent white cell count > 100μ L or > 0.1 x 109/L (after a dwell time of at least 2 hours with > 50 per cent polymorphonuclear); and
 - Positive dialysis effluent culture
- Relapsing peritonitis: An episode occurring *within 4 weeks* of peritonitis therapy being completed with the same causative organism (or sterile episode) to be considered as a *single continuous event*.
- Recurrent peritonitis: An episode occurring *within* 4 *weeks* of peritonitis therapy being completed with a different causative organism to be considered as a *new peritonitis event* (see Table 6, *ISPD guidelines 2010*, vol. 30, no. 4, p. 404)
- Any event beyond 4 weeks after the completion of peritonitis therapy to be considered as a *new peritonitis event*.
- Numerator: number of peritonitis episodes in all PD patients
- Denominator: total number of patient-months on PD (expressed as a whole number)
- Peritonitis rate expressed as number of episodes per patient year
- Exclusions: patients who have a catheter in situ but are still pre-dialysis.

Rationale

Peritonitis remains the primary reason for PD failure. Peritonitis also contributes to increased hospitalisation and increased mortality.¹⁰ For a PD program to be successful, close attention must be paid to preventing PD-related infections including peritonitis,¹¹ and evaluating the causes when they do occur.

¹⁰ Strippoli G, F, M; Tong A; Johnson D; Schena F, P; Craig, J, C (2004). Peritoneal Dialysis: A Systematic Review of Randomized Controlled Trials. *Journal of the American Society of Nephrology*. 15, pp. 2735-2746.

¹¹ Piraino B; Bernadini J; Brown E; Figueiredo A; Johnson D, W; Lye W, C; Price V; Ramalakshmi S; Szeto C, C (2011). ISPD Position Statement on Reducing the Risks of Peritoneal Dialysis- Related Infections. *Peritoneal Dialysis International*, 31, pp. 614-630.

Potential reasons for variation between services

- Patient training techniques and patient's ability to perform procedure and manage the treatment
- Staff to patient ratios in PD training units and clinics
- Treatment regimens for peritonitis
- Patient demographics, supports, and time already spent on peritoneal dialysis.

KPI 5: PROPORTION OF NEW LIVE DONOR TRANSPLANTS THAT ARE PRE-EMPTIVE

Definition

- New patients are those new to ESKD, that is, not those who have previously had a transplant
- Pre-emptive transplant is defined as patients who are transplanted requiring no or under two weeks of dialysis.
- Numerator: total number of pre-emptive new live donor transplants
- Denominator: number of new live donor transplants
- Exclusions:
 - Patients with a failed transplant recommencing RRT
 - Patients having a combined organ transplant

Rationale

Kidney transplantation remains the optimal form of renal replacement therapy for ESKD. Live donor (related or unrelated) transplantation currently represents approximately 40 per cent of all transplants and offers excellent patient and transplant outcomes.

Timely live donor transplantation can potentially avoid prolonged periods of dialysis, which carry increased risk of morbidity and significant cost. In many instances, timely live donor transplantation can help patients to avoid dialysis completely. This is referred to as 'pre-emptive' transplantation. The quality and efficiency of a live donor program can be measured in part by the proportion of lived donor transplants that are 'pre-emptive'.

Potential reasons for variation between services

There is substantial variation across Victorian services in rates of live donor transplants with some services exceeding national averages and some performing live donor transplants at less than 50 per cent of the national average rate. Variation is potentially explained by timely access to relevant services, appropriate education of patients and potential donors and physician attitudes.

KPI 6: PROPORTION OF NEW ESKD PATIENTS 65 YEARS OLD AND UNDER WHO HAVE HAD A TRANSPLANT OR ARE ON THE ACTIVE LIST

Definition

- New patients are those new to ESKD (not those who have previously had a transplant)
- Requiring RRT is defined as the point at which either transplantation or dialysis is required to sustain life
- Numerator: number patients ≤ 65 years old who have had a transplant or are 'active' within three or six months of requiring RRT
- Denominator: number of patients ≤ 65years old requiring RRT
- Inclusions:
 - Any patient who has been 'active' within the three or six months
 - All pre-emptive transplants
- Exclusions:
 - Patients with a failed transplant recommencing RRT
 - Patients having a combined organ transplant

Rationale

For those with ESKD that are medically, surgically and psychologically suitable early transplantation is the best life extending treatment option. Early live donor transplantation or timely listing for deceased donor transplantation is the ideal goal for these suitable individuals. This requires significant planning prior to commencement of dialysis. This KPI therefore serves as a measure of the efficiency of the workup process and identifies barriers. The 65 years old group and under has been chosen to more accurately reflect the target dialysis group for transplantation.

There will always be a proportion of patients 65 years and under that are unsuitable for transplantation due to a variety of factors such as obesity, smoking, cardiovascular, psychiatric, non-adherence and malignancy. There is an assumption that the percentage of these unsuitable patients is similar across all health services.

Patients that have either not been assessed for transplantation or not completed the transplant workup process will also be assessed as failing to meet this KPI.

The reasons for failing to meet this KPI will likely be extremely heterogeneous and reflect a variety of both unit and patient factors. Greater analysis is needed.

Potential reasons for variation between services

- Variation in the referral patterns to nephrology and transplant service
- Differences in the processes used to make final decision regarding suitability.
- Different access to investigations, appointments and referrals to other services (such as imaging, cardiology services, psychiatry)
- Differing physician attitudes regarding suitability factors, for example surgical, medical, smoking status and weight
- Patient demographics, geographic factors and educational factors.

Reducing the variability in any or all these factors will potentially lead to greater efficiency and equity in transplantation



